



PTO/SB/06a (10-08)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 8

Complete if Known

Application Number	10/781,506-Conf. #2911
Filing Date	February 18, 2004
First Named Inventor	Rafail Zubok
Art Unit	3733
Examiner Name	J. L. Cumberledge
Attorney Docket Number	SPINE 3.0-455 CIP CONT III

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/P/P/	AA*	US-2001/0005796-A1	06-28-2001	Zdeblick et al.	
	AB*	US-2001/0027343-A1	10-04-2001	Keller	
	AC*	US-2002/0017789-A1	02-14-2002	Holmes et al.	
	AD*	US-2002/0035400	03-21-2002	Bryan et al.	
	AE*	US-2002/0082597-A1	06-27-2002	FRASER	
	AF*	US-2002/0082701-A1	06-27-2002	Zdeblick et al.	
	AG*	US-2002/0107571-A1	08-08-2002	Foley	
	AH*	US-2002/0107572-A1	08-08-2002	Foley et al.	
	AI*	US-2002/0123750-A1	09-05-2002	Eisermann et al.	
	AJ*	US-2002/0128715-A1	09-12-2002	Bryan et al.	
	AK*	US-2002/0143399-A1	10-03-2002	Sutcliffe	
	AL*	US-2002/0147450-A1	10-10-2002	LeHuec et al.	
	AM*	US-2002/0169508-A1	11-14-2002	Songer et al.	
	AN*	US-2002/0193880-A1	12-19-2002	Fraser	
	AO*	US-20020004683	01-10-2002	Michelson	
	AP*	US-2003/0028197-A1	02-06-2003	Hanson et al.	
	AQ*	US-2003/0040796-A1	02-27-2003	Ferree	
	AR*	US-2003/0045939-A1	11-11-2003	Casutt	
	AS*	US-2003/0069586-A1	04-10-2003	Errico et al.	

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
/P/P/	BA	FR-2 718 635-A1	10-20-1995	Axcyl Medical		✓
	BB	WO-03/084449-A1	10-16-2003	Cool Brace et al.		
	BC	WO-94/04100-A1	03-03-1994	Sofamor et al.		
	BD	WO-01/01893	01-11-2001	Spine Solutions Inc et al.		
	BE	FR-2 730159-A1	08-09-1996	Teule Jean Germain		✓
	BF	FR-2 805 985-A1	09-14-2001	Eurosurgical		✓

Examiner Signature	/Pedro Philogene/	Date Considered	08/17/2009
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EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. ¹ include copy of this form with next communication to applicant. ² CITE NO.: Those application(s) which are marked with an single asterisk () next to the Cite No. are not supplied under 37 CFR 1.98(a)(2)(iii) because that application was filed after June 30, 2003 or is available in the IFW. ³ Applicant's unique citation designation number (optional). ⁴ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ⁵ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁶ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁷ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

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		Number-Kind Code ² (if known)				
/P P/	AT*	US-2003/0078668-A1		04-24-2003	Michelson	
	AU*	US-2003/0100949-A1		05-29-2003	Michelson	
	AV*	US-2003/0120344-A1		06-26-2003	Michelson	
	AW*	US-2003/0135278-A1		07-17-2003	Eckman	
	AX*	US-2003/0167091-A1		09-04-2003	Scharf	
	AY*	US-2003/0167092-A1		09-04-2003	Foley	
	AZ*	US-2003/0176921-A1		09-18-2003	Lawson	
	AA1*	US-2003/0176922-A1		09-18-2003	Lawson et al.	
	AB1*	US-2003/0181982-A1		09-25-2003	Kuslich	
	AC1*	US-2003/0191534-A1		10-09-2003	Viat et al.	
	AD1*	US-2003/0195632-A1		10-16-2003	Foley et al.	
	AE1*	US-2003/0199981-A1		10-23-2003	Ferree	
	AF1*	US-2003/0204260-A1		10-30-2003	Ferree	
	AG1*	US-2003/0208274-A1		11-06-2003	Davis	
	AH1*	US-2003/0216810-A1		11-20-2003	Ralph et al.	
	AI1*	US-2003/0229358-A1		12-11-2003	Errico et al.	
	AJ1*	US-2003/0229397-A1		12-11-2003	Davis	
	AK1*	US-2003/0233148-A1		12-18-2003	Ferree	
	AL1*	US-2004/0002759-A1		01-01-2004	Ferree	

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					
/P.P./	BG	FR-2 824 261-A1		11-08-2002	Ldr Medical		✓
/P.P./	BH	WO-91/13598		09-19-1991	Jbs Sa		

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/P.P./	AM1*	US-2004/0002761-A1	01-01-2004	Rogers et al.	
	AN1*	US-2004/0002762-A1	01-01-2004	Hawkins	
	AO1*	US-2004/0006343-A1	01-08-2004	Sevrain	
	AP1*	US-2004/0010254-A1	01-15-2004	Cook et al.	
	AQ1*	US-2004/0024459-A1	02-05-2004	Ferree	
	AR1*	US-2004/0024461-A1	02-05-2004	Ferree	
	AS1*	US-2004/0030389-A1	02-12-2004	Ferree	
	AT1*	US-2004/0030390-A1	02-12-2004	Ferree	
	AU1*	US-2004/0030391-A1	02-12-2004	Ferree	
	AV1*	US-2004/0034426-A1	02-19-2004	Errico et al.	
	AW1*	US-2004/0117022	06-17-2004	Mamay et al.	
	AX1*	US-2004/0138750-A1	07-15-2004	Mitchell	
	AY1*	US-2004/0143332-A1	07-22-2004	Krueger et al.	
	AZ1*	US-2004/0148028	07-29-2004	Ferree et al.	
	AA2*	US-2004/0215198-A1	10-28-2004	Mamay et al.	
	AB2*	US-2004/0220567-A1	11-04-2004	Eisemann et al.	
	AC2*	US-2004/0133281-A1	07-08-2004	Khandkar et al.	
	AD2*	US-2004/0176774	09-09-2004	Zubok et al.	
	AE2*	US-2004/0176777	09-09-2004	Zubok et al.	

FOREIGN PATENT DOCUMENTS

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/P/P/	AF2*	US-20040176843	09-09-2004	Zubok et al.	
	AG2*	US-4,759,766	07-26-1988	BUETTNER-JANZ ET AL.	
	AH2*	US-4,759,769	07-26-1988	Hedman et al.	
	AI2*	US-4,917,704	04-17-1990	Frey et al.	
	AJ2*	US-4,955,908	09-11-1990	Frey et al.	
	AK2*	US-5,002,576	03-26-1991	Fuhrmann et al.	
	AL2*	US-5,246,458	09-21-1993	Graham	
	AM2*	US-5,258,031	11-02-1993	Salib et al.	
	AN2*	US-5,370,697	12-06-1994	Baumgartner et al.	
	AO2*	US-5,401,269	03-28-1995	Buttner-Janz et al.	
	AP2*	US-5,425,773	06-20-1995	Boyd et al.	
	AQ2*	US-5,458,641	10-17-1995	Ramirez Jimenez et al.	
	AR2*	US-5,507,816	04-16-1996	Bullivant	
	AS2*	US-5,514,180	05-07-1996	Heggeness et al.	
	AT2*	US-5,534,029	07-09-1996	Shima	
	AU2*	US-5,549,690	08-27-1996	Hollister et al.	
	AV2*	US-5,556,431	09-17-1996	Buttner-Janz et al.	
	AW2*	US-5,562,738	10-08-1996	Boyd et al.	
	AX2*	US-5,674,296	10-07-1997	Bryan et al.	

FOREIGN PATENT DOCUMENTS

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/P/P/	AY2*	US-5,683,465	11-04-1997	Shinn et al.	
	AZ2*	US-5,755,796	05-26-1998	Ibo et al.	
	AA3*	US-5,782,832	07-21-1998	Larsen et al.	
	AB3*	US-5,865,846	02-02-1999	Bryan et al.	
	AC3*	US-5,888,223	03-30-1999	Bray, Jr.	
	AD3*	US-5,888,226	03-30-1999	Rogozinski	
	AE3*	US-5,893,889	04-13-1999	Harrington	
	AF3*	US-5,895,428	04-20-1999	Berry	
	AG3*	US-5,899,941	05-04-1999	Nishijima et al.	
	AH3*	US-5,916,267	06-29-1999	Tienboon et al.	
	AI3*	US-5,928,284	07-27-1999	Mehdizadeh	
	AJ3*	US-5,989,291	11-23-1999	Ralph et al.	
	AK3*	US-6,019,792	02-01-2000	Cauthen	
	AL3*	US-6,039,763	03-21-2000	Shelokov	
	AM3*	US-6,051,751	04-18-2000	Sioshansi et al.	
	AN3*	US-6,063,121	05-16-2000	Xavier et al.	
	AO3*	US-6,066,175	05-23-2000	Henderson et al.	
	AP3*	US-6,093,205	07-25-2000	McLeod et al.	
	AQ3*	US-6,146,421	11-14-2000	Gordon et al.	

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/P P /	AR3*	US-6,156,067	12-05-2000	Bryan et al.	
	AS3*	US-6,190,413	02-20-2001	Sutcliffe et al.	
	AT3*	US-6,214,005-B1	04-10-2001	Benzel et al.	
	AU3*	US-6,228,118	05-08-2001	Gordon	
	AV3*	US-6,235,059	05-22-2001	Benezech et al.	
	AW3*	US-6,342,057	01-29-2002	Brace et al.	
	AX3*	US-6,368,350	04-09-2002	Erickson et al.	
	AY3*	US-6,395,030-B1	05-28-2002	Songer et al.	
	AZ3*	US-6,395,032-B1	05-28-2002	Gauchet	
	AA4*	US-6,416,551-B1	07-09-2002	Keller	
	AB4*	US-6,432,106-B1	08-13-2002	Fraser	
	AC4*	US-6,440,168	08-27-2002	Cauthen	
	AD4*	US-6,468,310	10-22-2002	Ralph et al.	
	AE4*	US-6,471,725	10-29-2002	Ralph et al.	
	AF4*	US-6,517,580-B1	02-11-2003	Ramadan et al.	
	AG4*	US-6,527,320-B1	03-04-2003	Gregg	
	AH4*	US-6,527,804-B1	03-04-2003	Gauchet et al.	
	AI4*	US-6,562,073-B2	05-13-2003	Foley	
	AJ4*	US-6,576,017-B2	06-10-2003	Foley et al.	

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